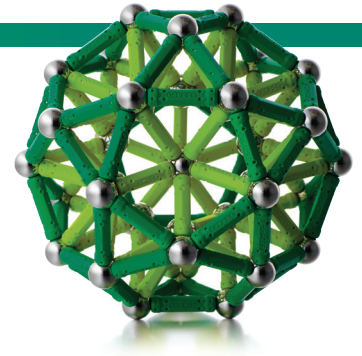


APPLICATION FORM OLD MUTUAL GLOBAL INVESTORS SERIES PLC



For shares in Old Mutual Global Investors Series plc (the "Company").

An investment company with variable capital incorporated with limited liability in Ireland with registered number 271517, established as an umbrella fund with segregated liability between funds and authorised pursuant to the European Communities (Undertakings for Collective Investment in Transferable Securities) Regulations 2011. This application, together with all appendices and exhibits hereto (the "application") is regulated by the Central Bank of Ireland. Please ensure that you have read the most up to date Key Investor Information Document for each share class in which you wish to invest before you sign this application form. These documents can be downloaded from www.omglobalinvestors.com or by calling us on +353 1 622 4499.

Please note that we will not accept your investment instruction unless you confirm that you have read the applicable Key Investor Information Document for your chosen investment.

Please use BLOCK CAPITALS and black ink only and complete all relevant sections.

A CLIENT DETAILS

Are you an existing Shareholder in the company?

Yes No

Account number

First applicant

Surname

Forenames

Nationality

Date of birth

Passport number

Identity card number

Country of issue

Other form of ID

Joint applicant (if any)

Surname

Forenames

Nationality

Date of birth

Passport number

Identity card number

Country of issue

Other form of ID

Do all joint applicants have the same residential address?
If 'No', please be sure the 'proof of residential address' is included for each residential address.

Yes No

Each applicant may on behalf of the other applicant(s) execute documents alone and give instructions alone in relation to the sale and transfer of Shares subscribed for hereunder.

Yes No

Corporation or Trustee Name

CONTINUED ON NEXT PAGE



A CLIENT DETAILS CONTINUED

Residential address (of first applicant or corporation/trustee)

| | | |
|---------------|-------------|------|
| | | City |
| Country | Postal code | |
| Telephone | Fax | |
| Email address | | |

By providing an email address above or otherwise to the Company, the applicant (i) agrees to receive all Company and sub-fund related documentation and/or communication, including but not limited to prospectuses, financial reports and documentation required at shareholder meetings, electronically at the email address specified above, and (ii) undertakes to keep the Company informed of any change to such email address. The applicant may at any time request a hardcopy of any such communications from the Company and/or revoke (or have an authorised signatory revoke), in writing or other authorised manner, the consent given to receive such information electronically.

All correspondence will be mailed only to the first applicant or corporation/trustee

If you would like correspondence sent to an address other than the residence above, please provide a mailing address below;

| | | |
|---------------|-------------|------|
| | | City |
| Country | Postal code | |
| Telephone | Fax | |
| Email address | | |

Please choose preferred language for Contract Notes and Quarterly Statements

English

Spanish

B ULTIMATE BENEFICIAL OWNERSHIP FORM (TO BE COMPLETED BY APPLICANT)

Ultimate Beneficial Owners are individuals who directly, or indirectly, hold ownership or control of 25 per cent or more of the shares or voting rights in an entity (i.e. company, trust, partnership) or otherwise exercise control over the management of that entity¹.

Notes to help with completion:

1. Please ensure this section is fully completed and, in the case of a corporate entity, please sign in accordance with the authorised signatory list.
2. Please note this section does not need to be completed by entities regulated for AML purposes in Irish Prescribed Jurisdictions².
3. Individual investors only need to complete this section if monies for the investment are coming from a joint bank account and the second person has not signed the subscription document.
4. For all other investments, please complete either A or B as applicable and sign below:

For all other investments, please tick either A or B as applicable and sign below:

- A. There are no Ultimate Beneficial Owners owning 25% or more at this time
- B. There are Ultimate Beneficial Owners owning 25% or more at this time

If you have ticked (B) please provide detail of Ultimate Beneficial Owner(s) below:

| Name | Address | Date of Birth | % Holding |
|------|---------|---------------|-----------|
| | | | |
| | | | |
| | | | |

Please note: Citibank Europe Plc (Cit) will be unable to place a subscription trade until the Ultimate Beneficial Owners have been confirmed.

¹ Should the entity's shares be held by another entity or entities, we will not require evidence of this at the time of subscription. Unless otherwise requested, please only provide details of any individuals that will ultimately beneficially own shares in the Company.

² List of Irish Prescribed Jurisdictions: Member States of the European Union, Australia, Brazil, Canada, Channel Islands, Hong Kong, Iceland, India, Isle of Man, Japan, Liechtenstein, Mexico, Norway, Singapore, South Africa, South Korea, Switzerland, the Dutch overseas territories of Netherlands Aruba, Curacao, Sint Maarten, Bonaire, Sint Eustatius and Saba, the French overseas territories of Mayotte, New Caledonia, French Polynesia, Saint Pierre and Miquelon and Wallis and Futuna or the USA (please note that this list is subject to change).

C INTERMEDIARY REGISTRATION AND DETAILS

| | | | | | | | |
|------------------------|-------------|-------------------------------|---|---|---|---|---|
| Intermediary | | Stamp or seal of intermediary | | | | | |
| Address | | | | | | | |
| | | | | | | | |
| | City | | | | | | |
| Country | Postal code | | | | | | |
| Telephone | Fax | Intermediary code | | | | | |
| Email address | | Date of birth | | | | | |
| Intermediary signature | | D | D | M | M | Y | Y |

Important note: Intermediaries are advised that the Company will not pay commission in respect of any subscriptions made after 31st December, 2012 unless this box is ticked to indicate such subscriptions were: (i) made by an investor outside of the United Kingdom; or (ii) made by the investor in the United Kingdom but without the provision of advice. If you elect to receive commission you are required to confirm your eligibility to receive commission in accordance with the FCA rules by ticking the box below.

Please tick this box to confirm that you are entitled to receive commission:

Please note that if you do not tick the box above it will be deemed that you are not entitled to receive commission and no commission will be payable.

D INVESTMENT DETAILS

Please refer to Appendix A for a list of available investment options.

E INITIAL CHARGE AND COMMISSION

(This section to be filled in by the intermediary)

Initial charge to be taken by the intermediary whose details appear above is: %

Commission to be applied is:

F SUBSCRIPTION PAYMENT DETAILS

Please note: all charges shall be borne by the subscriber. Payments shall therefore be sent net of all bank charges, fees or tariffs. When giving SWIFT instructions please indicate "OUR" in the charges field.

Euro (EUR)

Please pay EUR [amount] without deduction via direct clearing linkage to:
Citibank N.A., London (CITIGB2L) for credit to OMGIS EUR Subscription AC, account number 10629685
IBAN: GB26 CITI 1850 0810 6296 85

Chinese Renminbi (RMB)

Customer cut-off: 16:00 D-1
Settlement instructions: Please pay CNY [amount] to Citibank N.A. London (CITIGB2L) for credit to OMGIS RMB Subscription AC, account number 13021920, with separate cover message (MT202COV) via your correspondent bank to Citibank N.A., Hong Kong (CITIHKHX)
Pre-advising: To obtain good value ALL incoming funds MUST be pre-advised before cut-off.

Australia (AUD)

Please pay AUD [amount] direct via MT103 to Citibank N.A. London (CITIGB2L) for credit to OMGIS AUD Subscription AC, account number 13257835, with separate cover message (MT202COV) via your correspondent bank to Citibank Ltd, Sydney (CITIAU2X) favour acct number A/C 0912083012.
IBAN: GB35 CITI 1850 0813 2578 35

Swedish Krona (SEK)

Please pay SEK [amount] direct via MT100/MT103 to:
Citibank N.A., London (CITIGB2L) for credit to OMGIS SEK Subscription AC, account number 10629707, with separate cover message (MT202) via your correspondent bank to Citibank London's account with Svenska Handelsbanken, Stockholm, (HANDSESS)
IBAN: GB14 CITI 1850 0810 6297 07

Swiss Franc (CHF)

Please pay CHF [amount] without deduction via direct clearing linkage to:
Citibank N.A., London (CITIGB2L) BC Code 89095 for credit to OMGIS CHF Subscription AC, account number 10631906
IBAN: GB05 CITI 1850 0810 6319 06

Japanese Yen (JPY)

Please pay JPY [amount] direct via MT100/MT103 to:
Citibank N.A., London (CITIGB2L) for credit to OMGIS JPY Subscription AC, account number 10629634, with separate cover message (MT202) via your correspondent bank to Citibank N.A., Tokyo (CITIJPJT)
IBAN: GB45 CITI 1850 0810 6296 34

Pounds Sterling (GBP)

Please pay GBP [amount] without deduction via direct clearing linkage to:
Citibank N.A., London (CITIGB2L) for credit to OMGIS GBP Subscription AC, account number 11952641
IBAN: GB76 CITI 1850 0811 9526 41
Alternatively for UK resident investors, please pay GBP [amount] without deduction via direct clearing linkage to Citibank N.A., London (CITIGB2L) for credit to Sort Code 18-50-08 11952641, OMGIS GBP Subscription AC.

US Dollars (USD)

Please pay USD [amount] direct via MT100/MT103 to:
Citibank N.A., London (CITIGB2L) for credit to OMGIS USD Subscription AC, account number 10629693, with separate cover message to (MT202) via your correspondent bank to Citibank N.A., New York (CITIUS33)
IBAN: GB04 CITI 1850 0810 6296 93

Hong Kong Dollar (HKD)

Please pay HKD [amount] without deduction via MT100/MT103 to:
Citibank N.A., (CITIHKHX) for credit to OMGIS HKD Subscription AC, account number 17912210
For HKD fund transfers from banks within HK, Please pay HKD [amount] without deduction to Citibank N.A., (bank code: 006; branch code: 391) for credit to OMGIS HKD Subscription AC, account number 17912210

Singapore Dollars (SGD)

Please pay SGD [amount] direct via MT103 to:
Citibank N.A., London (CITIGB2L) for credit to OMGIS SGD Subscription AC, account number 12764016, with separate cover message to (MT202) via your correspondent bank to Citibank N.A., Singapore (CITISGSG) favour account number A/C 0700395006
IBAN: GB13CITI18500812764016

G SOURCE OF INVESTMENT

(Banking details on where the subscription monies are coming from)

| | | | | | | | | | | | | |
|--|--|--|--|--|--|--|--|--|--|--|-------------|--|
| Bank name | | | | | | | | | | | | |
| SWIFT code/sort code/routing number/IBAN | | | | | | | | | | | | |
| Bank address | | | | | | | | | | | | |
| | | | | | | | | | | | City | |
| Country | | | | | | | | | | | Postal code | |
| Account name | | | | | | | | | | | | |
| Account number | | | | | | | | | | | | |

H REDEMPTION PAYMENT

Are redemptions to be paid in the base currency of the fund?

 Yes

 No

If no, please indicate which of the below currencies redemptions are to be paid in.

USD EUR CHF GBP HKD JPY SEK SGD RMB

(changes to any static account information, including banking details, must be submitted in signed original via post to the Transfer Agent).

Please ensure that any correspondent banking details are also included.

Is your redemption information the same as your subscription information?
(If YES please continue to Section I).

 Yes

 No

| | | | | | | | | | | |
|--|--|--|--|--|-------------|--|--|--|--|------|
| Correspondent bank name | | | | | | | | | | |
| SWIFT code/sort code/routing number/IBAN | | | | | | | | | | |
| Correspondent account number | | | | | | | | | | |
| Beneficiary bank name | | | | | | | | | | |
| Beneficiary bank address | | | | | | | | | | |
| | | | | | | | | | | City |
| Country | | | | | Postal code | | | | | |
| SWIFT code/sort code/routing number/IBAN | | | | | | | | | | |
| Beneficiary bank account name | | | | | | | | | | |
| SWIFT code/sort code/routing number/IBAN | | | | | | | | | | |

I INCOME AND DISTRIBUTION PAYMENTS

For classes whereby income is due to be distributed, please select from the following:

income distributions paid in cash income distributions reinvested

If you would like the income distributions paid in cash, please specify where you would like the payments to be made:

| | | | | | | | | | | |
|--|--|--|--|--|-------------|--|--|--|--|------|
| Correspondent bank name | | | | | | | | | | |
| SWIFT code/sort code/routing number/IBAN | | | | | | | | | | |
| Correspondent account number | | | | | | | | | | |
| Beneficiary bank name | | | | | | | | | | |
| Beneficiary bank address | | | | | | | | | | |
| | | | | | | | | | | City |
| Country | | | | | Postal code | | | | | |
| SWIFT code/sort code/routing number/IBAN | | | | | | | | | | |
| Beneficiary bank account name | | | | | | | | | | |
| Beneficiary bank account number | | | | | | | | | | |

J DECLARATIONS AND CONFIRMATIONS

Unless otherwise provided for herein, all defined terms shall have the same meaning as in the Prospectus for the Company current at the date of this Application (the "Prospectus").

The undersigned hereby certifies as follows in connection with this application for shares and any subsequent applications:

- I. I/We confirm that I/We have received and read the most up to date version of the Prospectus and the Key Investor Information Document for each share class in which I/We wish to invest as set out in this Application. I/We acknowledge that this Application is made solely on the terms of the Prospectus, applicable Key Investor Information Document and the contracts referred to therein together (where applicable) with the most recent annual report and accounts of the Company and (if issued after such report and accounts) its most recent unaudited half yearly report, and subject to the provisions of the Company's Memorandum and Articles of Association from time to time in force.
- II. I/We warrant that I am/we are duly authorised to sign this Application and to subscribe for or redeem the Shares in the Company and that by virtue of my/our subscribing for, holding or redeeming Shares in the Company, I/we will not be in breach of any laws or regulations of any competent jurisdiction and I/we hereby indemnify the Company, the Custodian, Administrator, the Registrar and Transfer Agent, the Distributors and other Shareholders for any loss suffered by them as a result of this warranty/representation not being true in every respect.
- III. I/We declare that:
 - a. the subscription of Shares by me/us as set out in this Application does not violate any laws or regulations in the country/territory in which I am/we are domiciled;
 - b. this Application is not made by or for any US Persons (as such expression is defined in the Prospectus).
- IV. I/We will not sell or transfer any Shares which I/we may subscribe for to any person who is a US Person or any Person who is resident or ordinarily resident in Ireland. I/We agree to:
 - a. provide the declaration and warranties contained herein to the Company and at such time as the Company may request provide such certificates, documents or other evidence as the Company may reasonably require to substantiate such representations,
 - b. notify the Company immediately if I/we become aware that any of the declarations and warranties contained herein is/are no longer accurate and complete in all respects.
- V. I/We declare that the entity hereby subscribing for Shares is neither a benefit plan investor nor investing on behalf of or with any assets of a benefit plan investor.
- VI. I/We hereby confirm that the Company, the Custodian, the Administrator, and the Registrar and Transfer Agent are each hereby authorised and instructed to accept and execute any instructions in respect of the Shares to which this Application relates given by me/us by facsimile. I/We hereby indemnify the Company, the Custodian, the Administrator, and the Registrar and Transfer Agent and agree to keep each of them indemnified against any loss of any nature whatsoever arising to each of them as a result of any of them acting upon such facsimile instructions. The Company, the Custodian, the Administrator, and the Registrar and Transfer Agent may rely conclusively upon and shall incur no liability in respect of any transfer, payment or any other action taken or not taken upon any notice, consent, request, instruction or other instrument believed in good faith to be genuine or to be signed by properly authorised persons.
- VII. I/We hereby undertake to observe and be bound by the Prospectus and the provisions of the Memorandum and Article of Associations (as amended from time to time) of the Company and apply to be entered in the register of shareholders of the Company as the holder/holders of the Shares issued in relation to this Application.
- VIII. I/We hereby acknowledge that the Company, the Custodian, the Administrator and the Registrar and Transfer Agent reserve the right to seek evidence of identity to comply with applicable money laundering regulations. In the case of delay or failure to provide satisfactory information, the Company, the Custodian, the Administrator and the Registrar and Transfer Agent may take such action as they see fit.
- IX. I/We hereby indemnify the Company, the Custodian, the Administrator, the Distributors and the Registrar and Transfer Agent and agree to keep each of them indemnified against any loss accruing as a result of any failure to process this Application, if the information required by any of them has not been provided by me/us.
- X. Money Laundering – I/We acknowledge that due to money laundering requirements operating within its jurisdiction the Registrar and Transfer Agent, or the Administrator may require further identification of me/us before this Application can be processed and the Registrar and Transfer Agent and/or the Administrator shall be held harmless and indemnified against any loss arising as a result of a failure to process this Application if such information has been required by the parties referred to and has not been provided by me/us.
- XI. I/We hereby explicitly consent to the Company and its agents processing and using the information contained in this Application as well as authorising the transfer of such information as set forth below:
 - To companies within the Old Mutual Global Investors group (within as well as outside the EU and EEA).
 - To the Administrator and its affiliates (including the transfer of data to the Administrator's parent company in the USA).
 - To the Registrar and Transfer Agent
 - To the Custodian
 - To the Distributors
 - To the auditors and legal advisors of the Company
 - To my appointed professional agent/broker company or financial planner whose details appear on the second page of this Application or to any other professional agent, broker/company or financial planner as may be advised by me/us to the Company in writing from time to time.
- XII. I/We declare that I am/we are over 18 years of age.
- XIII. If I/We (the "Investor") represents and warrants that, to the best of its knowledge, none of:
 - (1) the Investor;
 - (2) any person controlling or controlled by the Investor;
 - (3) if the Investor is a privately held entity, any person having a beneficial interest in the Investor; or
 - (4) any person for whom the Investor is acting as agent or nominee in connection with this investment is a senior foreign political figure*** or any immediate family member**** or close associate***** of a senior foreign political figure as such terms are defined in the footnotes below.

*** A "senior foreign political figure" is defined as a senior official in the executive, legislative, administrative, military or judicial branches of a non-US government (whether elected or not), a senior official of a major non-US political party, or a senior executive of a non-US government-owned corporation. In addition, a "senior foreign political figure" includes any corporation, business or other entity that has been formed by, or for the benefit of, a senior foreign political figure.

**** "Immediate family" of a senior foreign political figure typically includes the figure's parents, siblings, spouse, children and in-laws.

***** A "close associate" of a senior foreign political figure is a person who is widely and publicly known to maintain an unusually close relationship with the senior foreign political figure, and includes a person who is in a position to conduct substantial US and non-US financial transactions on behalf of the senior foreign political figure.
- XIV. I/We agree that in the event of my death or either or both of our deaths, as the case may be, the Company, the Custodian, the Administrator and the Registrar and Transfer Agent shall only accept and execute instructions in respect of the Shares to which this application relates upon the receipt and acceptance of the applicable probate documentation in my/our jurisdiction(s) from the executor of my estate or from the executor(s) of either or both of our estates, as the case may be.

We consent to that the information contained in this Application will be used for the purposes of processing, managing and administering my/our investment in the Company and dealing in any matter in relation thereto (including but not limited to carrying out any instruction purported to be given by me/us and mailing reports to me/

J DECLARATIONS AND CONFIRMATIONS CONTINUED

us), observing any legal, governmental or regulatory requirements of any relevant jurisdiction, and marketing. We consent to that the information may be retained after my/your investment in the Company has been liquidated and acknowledge that the information may be transferred to companies outside the European Economic Area (EEA) that may not have laws to protect the information.

- XV. I/We consent to the Company, the Custodian, the Administrator, the Distributors and the Registrar and Transfer Agent or any of its delegates to communicate to me/us for any purpose (excluding marketing) using the email address provided herein.
- XVI. I/We acknowledge that the Company, the Custodian, the Administrator, the Distributors and the Registrar and Transfer Agent or any of its delegates intends to take such steps as may be required to satisfy any obligations imposed by either (i) the Foreign Account Tax Compliance Act ("FATCA") regulations or (ii) any provisions imposed under Irish law arising from the inter-governmental agreement between the Government of the United States of America and the Government of Ireland ("IGA") so as to ensure compliance or deemed compliance (as the case may be) with the FATCA regulations or the IGA from 1 July 2014.

Furthermore, I/we hereby acknowledge that the Company, the Custodian, the Administrator, the Distributors and the Registrar and Transfer Agent or any of its delegates intends to also take such steps as may be required to satisfy any obligations imposed by (i) the Standard for Automatic Exchange of Financial Account Information in Tax Matters ("the Standard") and, specifically, the Common Reporting Standard ("CRS") therein or (ii) any provisions imposed under Irish law arising from the Standard or any international law implementing the Standard (to include the Multilateral Competent Authority Agreement on Automatic Exchange of Financial Account Information or the EU Council Directive 2011/16/EU (as amended by Council Directive 2014/107/EU)) so as to ensure compliance or deemed compliance (as the case may be) with the Standard and the CRS therein from 1 January 2016.

In order for the Company to comply with the above FATCA and CRS obligations, I/we agree to provide to the Company, the Custodian, the Administrator, the Distributors and the Registrar and Transfer Agent or any of its delegates the necessary declarations, confirmations and/or classifications at such times as each of them may request and furthermore provide any supporting certificates or documents as each of them may reasonably require in connection with this investment by reason of FATCA or CRS, as described above, or otherwise. Should any information furnished to any of them become inaccurate or incomplete in any way, I/we hereby agree to notify the Company, the Custodian, the Administrator, the Distributors and the Registrar and Transfer Agent or any of its delegates immediately of any such change and further agree to immediately take such action as the Company, the Custodian, the Administrator, the Distributors and the Registrar and Transfer Agent or any of its delegates may direct, including where appropriate, redemption of my/our Shares in respect of which such confirmations have become incomplete or inaccurate where requested to do so by the Company, the Custodian, the Administrator, the Distributors and the Registrar and Transfer Agent or any of its delegates. If relevant, I/we agree to notify the Company, the Custodian, the Administrator, the Distributors and the Registrar and Transfer Agent or any of its delegates of any change to my/our tax residency status.

I/we hereby also agree to indemnify and keep indemnified the Company against any loss, liability, cost or expense (including without limitation legal fees, taxes and penalties) which may result directly or indirectly as a result of a failure to meet our obligations pursuant to this section or failure to provide such information which has been requested by the Company and has not been provided by me/us, and from any misrepresentation or breach of any warranty, condition, covenant or agreement set forth herein or in any document delivered by me/us to the Company. I/We further acknowledge that a failure to comply with the foregoing obligations or failure to provide the necessary information required may result in the compulsory redemption of our entire holding in the Company, and that the Company is authorized to hold back from redemption proceeds or other distributions to me/us such amount as is sufficient after the deduction of any redemption charges to discharge any such liability and I/we shall indemnify and keep indemnified the Company against any loss suffered by it or other Shareholders in the Company in connection with any obligation or liability to so deduct, withhold or account.

I/We confirm that we have accurately and correctly completed the relevant self-certification form included below entitled "Entity Self-Certification for FATCA and CRS". I/We further confirm that if any information included in the self-certification form subsequently becomes inaccurate or incorrect we will notify the Company, the Custodian, the Administrator, the Distributors and the Registrar and Transfer Agent or any of its delegates immediately of any such change and agree to immediately take such action as the Company, the Custodian, the Administrator, the Distributors and the Registrar and Transfer Agent or any of its delegates may direct, including where appropriate, redemption of our Shares.

I/We agree to waive any provision of any privacy, banking secrecy or other law or regulation of any jurisdiction and/or the terms of any confidentiality agreement, arrangement or understanding that would, absent such a waiver, prevent the Company's compliance with the FATCA and CRS Requirements.

I/We hereby acknowledge that I/we should consult our own tax advisers about the applicability of FATCA, CRS and any other reporting requirements with respect to our own situation.

- XVII. I/we acknowledge that the Company operates a number of cash accounts in different currencies in its name into which (i) subscription monies received from investors who have subscribed for Shares are deposited and held until Shares are issued as of the relevant Dealing Day; and (ii) redemption monies due to investors who have redeemed Shares are deposited and held until paid to the relevant investors; and (iii) dividend payments owing to Shareholders are deposited and held until paid to such Shareholders (hereinafter referred to as "Umbrella Cash Account(s)"). I/We acknowledge that all subscriptions, redemptions and dividends payable to or from a Fund are channeled and managed through the Umbrella Cash Accounts.
- XVIII. I/we acknowledge that my / our subscription monies/ redemption monies / dividend monies will be paid into the Umbrella Cash Account, that such monies will be treated as an asset of the relevant Fund and I/we will not benefit from the application of any investor money protection rules (i.e. the monies will not be held on trust as investor monies for me/us) and that I/we will be an unsecured creditor of the relevant Fund (i) with respect to the amount subscribed for Shares and held in the Umbrella Cash Account until such Shares are issued to me/us as of the relevant Dealing Day or (ii) with respect to the redemption/dividend amount to be paid and held in the Umbrella Cash Account until such amount is paid to me/us (whichever is applicable).
- XIX. I/we acknowledge that in accordance with applicable anti-money-laundering and terrorist financing requirements (the "AML Requirements"), redemption monies or dividend payments shall not be paid on un-verified accounts. In the event that I/we fail to submit the necessary documentation requested by the Company or its delegate as required under the AML Requirements, redemption monies or dividend monies will be held in an Umbrella Cash Account and shall remain an asset of the relevant Fund and I/ we will not benefit from the application of any investor money protection rules (i.e. the redemption monies/dividend monies will not be held on trust for me/us). In such circumstances, I/we acknowledge that I/we will be unsecured creditors of the relevant Fund in respect of such redemption monies or dividend payments until such time as the relevant documentation required by the Company has been received to its satisfaction and the redemption monies/dividend payments have been paid to me/us.
- XX. I/we acknowledge that the following risks arise in relation to the operation of the Umbrella Cash Account:
- i. in the event that subscription monies received and held in an Umbrella Cash Account are lost (to include in the event of the insolvency of the bank with which such monies are held) prior to the issue of Shares to the relevant investor as of the relevant Dealing Day, the Company on behalf of the Fund may be obliged to make good any losses suffered by the investor (in its capacity as a general creditor of the Fund), in which case such loss will need to be discharged out of the assets of the relevant Fund and therefore will represent a diminution in the net asset value per share for existing Shareholders of the relevant Fund;
 - ii. in the event that redemption or dividend monies held in an Umbrella Cash Account are lost (to include in the event of the insolvency of the bank with which such monies are held) prior to payment to the relevant investor/ Shareholder, the Company on behalf of the Fund may be obliged to make good any losses suffered by the investor/ Shareholder (in its capacity as a general creditor of the Fund), in which case such loss will need to be discharged out of the assets of the relevant Fund and therefore will represent a diminution in the net asset value per Share for existing Shareholders of the relevant Fund;

J DECLARATIONS AND CONFIRMATIONS CONTINUED

- iii. in the event of an insolvency of the relevant Fund or the Company, there is no guarantee that the Fund or the Company will have sufficient funds to pay unsecured creditors in full. Investors who have forwarded subscription monies in advance of a dealing day and which are held in the Umbrella Cash Account and investors / Shareholders due redemption / dividend monies which are held in the Umbrella Cash Account will rank equally with all other unsecured creditors of the relevant Fund and will be entitled to a pro-rata share of monies which are made available to all unsecured creditors by the insolvency practitioner. Therefore, in such circumstances, the investor subscribing for Shares may not recover all monies originally paid into the Umbrella Cash Account in relation to the application for Shares and the redeeming investor entitled to redemption monies and the Shareholder entitled to a dividend payment may not recover all monies originally paid into the Umbrella Cash Account for onward transmission to that investor/Shareholder;
- iv. in addition, investors should note that in the event of the insolvency of another Fund of the Company, recovery of any amounts to which a relevant Fund is entitled, but which may have been used by such other insolvent Fund as a result of the operation of the Umbrella Cash Account(s) will be subject to the principles of Irish trust law and the terms of the operational procedures for the Umbrella Cash Accounts. There may be delays in effecting and/or disputes as to the recovery of such amounts, and the insolvent Fund may have insufficient funds to repay the amounts due to the relevant Fund.
- XXI. In the event that Shares are allotted / issued notwithstanding that cleared funds have not been received within the usual time limits by the Company as set out in the Prospectus, I/we acknowledge that the Company may cancel the allotment / issue of my / our Shares and I/we will be liable to pay to the Company interest at such rate as may be determined by the Directors from time to time and/or other losses, charges or expenses suffered or incurred by the Company, the Depositary or their delegates as a result of late payment or non-payment by me/us of subscription monies.
- XXII. I/ We acknowledge that all/part of the fees and expenses (including investment management fees) will be charged to the capital at a sub-fund or share class level in respect of the following sub-funds:
- Old Mutual Monthly Income High Yield Bond Fund;
 - Old Mutual US Dividend Fund;
 - Old Mutual UK Alpha Fund (IRL);
 - Old Mutual Global Equity Income Fund (IRL);
 - Old Mutual Asian Equity Income Fund;
 - Old Mutual Global Emerging Markets Fund;
 - Old Mutual Global Bond Fund;
 - Old Mutual Emerging Market Debt Fund;
 - Old Mutual Local Currency Emerging Market Debt Fund;
 - Old Mutual Total Return USD Bond Fund;
 - Old Mutual Investment Grade Corporate Bond Fund;
 - Old Mutual Europe (ex UK) Smaller Companies Fund;
 - Old Mutual European Best Ideas Fund;
 - Old Mutual Pan African Fund;
 - Old Mutual Compass Portfolio 2;
 - Old Mutual Compass Portfolio 3;
 - Old Mutual Compass Portfolio 4; and
 - Old Mutual Compass Portfolio 5.

PLEASE INDICATE (A) OR (B) AS APPROPRIATE**(a) Declaration on own behalf**

- I/We declare that I am/we are applying for the shares/units on my own/our own behalf/on behalf of a company and that
- I am/We are/the company is entitled to the shares/units in respect of which this declaration is made and that
- I am/We are/the company is not currently resident or ordinarily resident in Ireland, and should I/we/the company become resident in Ireland I/we will so inform you, in writing, accordingly.

(b) Declaration as intermediary

- I/We* declare that I am/we are* applying for shares/units on behalf of persons:
- who will be beneficially entitled to the shares/units; and,
 - who, to the best of my/our knowledge and belief, are neither resident nor ordinarily resident in Ireland.
- I/We also declare that:
- unless I/we specifically notify you to the contrary at the time of application, all applications for shares/units made by me/us* from the date of this application will be made on behalf of such persons; and,
 - I/We will inform you in writing if I/we become aware that any person, on whose behalf I/we holds shares/units, becomes resident in Ireland.

J DECLARATIONS AND CONFIRMATIONS CONTINUED**TAXATION OF SAVINGS DIRECTIVE - INDIVIDUALS**

Council Directive 2003/48/EC ('Savings Directive'), which deals with the Taxation of savings income in the form of interest payments, seeks to ensure that individuals that are resident and "residual entities" that are established in an EU Member State or a "dependent or associated territory" who receive savings income from a paying agent in an EU Member State or a dependent or associated territory are taxed in the EU Member State or dependent or associated territory in which they are resident for tax purposes. "Dependent or associated territory" includes Aruba, Netherlands Antilles, Jersey, Guernsey, Isle of Man, Anguilla, British Virgin Islands, Cayman Islands, Montserrat, Turks and Caicos Islands and may be updated from time to time.

Individuals

| | | | | | | | |
|--|---------------|---|---|---|---|---|---|
| Place of Birth | Date of birth | D | D | M | M | Y | Y |
| Country of issue of passport/ Official National ID card* | | | | | | | |
| If applicable, your Tax Identification Number ("TIN") issued by your country of residence: | | | | | | | |
| If applicable, please specify the country issuing TIN | | | | | | | |

* Applicants who present a passport or official National ID card issued by an EU Member State or dependent or associated territory and claim to be tax resident in a country other than that EU Member State must produce a certificate of tax residence issued by that country he/she claims to be tax resident in. If they do not, they will be treated for the purposes of the Savings Directive as tax resident in the country which issued the passport or official National Identity card presented by them.

Note: Individuals acting as an intermediary for another person will be treated as the beneficial owner for the purposes of the Savings Directive unless they can provide appropriate evidence that this is the case.

Return of Values (Investment Undertakings) Regulations 2013

The Return of Values (Investment Undertakings) Regulations 2013 (S.I. 245 of 2013) (the "Regulations") requires certain Irish Investment Undertakings to make annual returns to the Irish Revenue Commissioners of the value of the investments in a tax year held by certain unit holders.

In order to satisfy the Regulations, the Company must collect the following additional information from any applicants which are Irish Resident or Ordinarily Resident in Ireland (that are not excepted unit holders within the meaning of the Regulations):

| | | | | | | | | | | | | | | | | | | | |
|---|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|
| Tax Reference Number (TRN) / PPS Number | | | | | | | | | | | | | | | | | | | |
|---|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|

Furthermore, the Regulation requires the Company to seek appropriate documentation from the applicant to verify the above TRN or PPS Number. Any one of the following additional documents (copy or original) is sufficient;

- P60
- P45
- P21 Balancing Statement
- Payslip (where employer is identified by name or tax number)
- Drug Payment Scheme Card
- European Health Insurance Card
- Tax Assessment
- Tax Return Form
- PAYE Notice of Tax Credits
- Child Benefit Award Letter /Book
- Pension Book
- Social Services Card
- Public Services Card

In addition, any printed documentation issued by the Irish Revenue Commissioners or by the Department of Social Protection which contain your name, address and tax reference number will also be acceptable. In the case of joint account holders, the additional documentation is required for each applicant.

Your personal information will be handled by the Administrator or its duly appointed delegates as Data Processor for the Company in accordance with the Data Protection Acts 1988 to 2003. In particular, all the relevant security measures will be taken to ensure an adequate level of protection of the personal data against unauthorised access, accidental loss or destruction. Your information provided herein will be processed for the purposes of complying with the Regulations and this may include disclosure to the Irish Revenue Commissioners.

¹ Where an applicant is an intermediary and that applicant is the registered unit holder, reporting obligations under the regulations may be carried out on the basis that the intermediary is the unit holder. For these purposes, intermediary has the same meaning as in Section 739B (1) TCA 1997.

² A PPS Number is required if the relevant applicant is an individual.

J DECLARATIONS AND CONFIRMATIONS CONTINUED**INDIVIDUAL (CONTROLLING PERSON'S) SELF-CERTIFICATION FOR FATCA AND CRS****Instructions for completion**

We are obliged under Section 891E, Section 891F and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each investor's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an investor's interests in the Fund with relevant tax authorities. This form is intended to request information only where such request is not prohibited by Irish law.

If you have any questions about this form or defining the investor's tax residency status, please refer to the OECD CRS Portal or speak to a tax adviser.

For further information on FATCA or CRS please refer to the Irish Revenue Commissioners website at <http://www.revenue.ie/en/business/aeoi/index.html> or the following link to the OECD CRS Information Portal at: <http://www.oecd.org/tax/automatic-exchange/> in the case of CRS only.

If any of the information below about the investor's tax residence or FATCA /CRS classification changes in the future, please advise of these changes promptly.

Please note that where there are joint or multiple account holders each investor is required to complete a separate Self-Certification form.

Sections 1, 2, 3 and 5 must be completed by all investors.

Section 4 should only be completed by any individual who is a Controlling Person of an entity investor which is a Passive Non Financial Entity.

For further guidance see. <http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

1. INVESTOR IDENTIFICATION

| |
|---------------|
| Investor Name |
|---------------|

Current residential address:

| | |
|--|-----------------------|
| Number | Street |
| City, Town, State, Province or Country | |
| Postal code/Zip code | Country |
| Place of birth | Town or city of birth |
| Country of birth | Date of birth |

Mailing address (if different from above):

| | |
|--|---------|
| Number | Street |
| City, Town, State, Province or Country | |
| Postal code/Zip code | Country |

2. DECLARATION OF U.S. CITIZENSHIP OR U.S. RESIDENCE FOR TAX PURPOSES:

Please tick either (a) or (b) and complete as appropriate.

- A. I confirm that **I am** a U.S. citizen and/or resident in the U.S. for tax purposes and my U.S. federal taxpayer identifying number (U.S. TIN) is as follows:
- B. I confirm that **I am not** a U.S. citizen or resident in the U.S. for tax purposes.

3. CRS DECLARATION OF TAX RESIDENCY (PLEASE NOTE YOU MAY CHOOSE MORE THAN ONE COUNTRY):

Please indicate your/ the investor's country of tax residence (if resident in more than one country please detail all countries of tax residence and associated taxpayer identification numbers ("TIN"). Please see the CRS Portal for more information on Tax Residency.

| Country of tax residency | Tax ID number |
|--------------------------|---------------|
| | |
| | |
| | |

Note: Provision of a Tax ID number (TIN) is required unless you are tax resident in a Jurisdiction that does not issue a TIN.

CONTINUED ON NEXT PAGE

J DECLARATIONS AND CONFIRMATIONS CONTINUED**INDIVIDUAL (CONTROLLING PERSON'S) SELF-CERTIFICATION FOR FATCA AND CRS****4. TYPE OF CONTROLLING PERSON**

(ONLY to be completed by any individual who is a Controlling Person of an entity investor which is a Passive Non-Financial Entity or an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution).

For joint or multiple Controlling Persons please complete a separate Self-Certification form for each Controlling Person.

| Please Confirm what type of Controlling Person applicable under CRS that applies to you/the investor by ticking the appropriate box | Please tick | Entity name |
|---|-------------|-------------|
| Controlling Person of a legal person – control by ownership | | |
| Controlling Person of a legal person – control by other means | | |
| Controlling Person of a legal person – senior managing official | | |
| Controlling Person of a trust - settlor | | |
| Controlling Person of a trust – trustee | | |
| Controlling Person of a trust – protector | | |
| Controlling Person of a trust – beneficiary | | |
| Controlling Person of a trust – other | | |
| Controlling Person of a legal arrangement (non-trust) – settlor-equivalent | | |
| Controlling Person of a legal arrangement (non-trust) – trustee-equivalent | | |
| Controlling Person of a legal arrangement (non-trust) – protector-equivalent | | |
| Controlling Person of a legal arrangement (non-trust) – beneficiary-equivalent | | |
| Controlling Person of a legal arrangement (non-trust) – other-equivalent | | |

5. DECLARATION AND UNDERTAKINGS

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.

I acknowledge that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

Sign

Date of birth

I undertake to advise the recipient promptly and provide an updated Self-Certification form where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

Authorised signature

Print name

Date of signing

Capacity

J DECLARATIONS AND CONFIRMATIONS CONTINUED

FATCA AND CRS SELF-CERTIFICATION - CORPORATE OR ENTITIES

3.3 Financial Institutions under FATCA:

If the Entity is a Financial Institution but unable to provide a GIIN, please tick one of the below reasons:

| | | |
|------|--|--|
| I. | The Entity has not yet obtained a GIIN but is sponsored by another entity which does have a GIIN. Please provide the sponsor's name and sponsor's GIIN : Sponsor's name <input type="text"/> Sponsor's GIIN <input type="text"/> | |
| II. | Exempt Beneficial Owner | |
| III. | Certified Deemed Compliant Foreign Financial Institution (including a deemed compliant Financial Institution under Annex II of the Agreement) | |
| IV. | Non-Participating Foreign Financial Institution | |
| V. | Excepted Foreign Financial Institution | |

3.4 Non-Financial Institutions under FATCA:

If the Entity is not a Financial Institution, please tick one of the below categories:

| | | |
|------|---|--|
| I. | Active Non-Financial Foreign Entity | |
| II. | Passive Non-Financial Foreign Entity (If this box is ticked, please include self-certification forms for each of your Controlling Persons) | |
| III. | Excepted Non-Financial Foreign Entity | |

4. CRS DECLARATION OF TAX RESIDENCY (PLEASE NOTE THAT YOU MAY CHOOSE MORE THAN ONE COUNTRY)

Please indicate the Entity's country of tax residence for CRS purposes, (if resident in more than one country please detail all countries of tax residence and associated tax identification numbers ("TIN")).

Note: Provision of a Tax ID number (TIN) is required unless you are tax resident in a Jurisdiction that does not issue a (TIN).

If the Entity is not tax resident in any jurisdiction (e.g., because it is fiscally transparent), please indicate that below and provide its place of effective management or country in which its principal office is located.

| Country of Tax Residency | Tax ID Number |
|--------------------------|---------------|
| | |
| | |
| | |

5. ENTITY'S CRS CLASSIFICATION* (THE INFORMATION PROVIDED IN THIS SECTION IS FOR CRS. PLEASE NOTE AN ENTITY'S CRS CLASSIFICATION MAY DIFFER FROM ITS FATCA CLASSIFICATION IN SECTION 3)

5.1 Financial Institutions under CRS:

If the Entity is a Financial Institution, please tick one of the below categories

| | | |
|-----|--|--|
| I. | Financial Institution under CRS (other than (II) below) | |
| II. | An Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution (If this box is ticked, please indicate the name of any Controlling Person(s) of the Entity and complete a separate individual self-certification forms for each of your Controlling Persons **) | |

J DECLARATIONS AND CONFIRMATIONS CONTINUED**FATCA AND CRS SELF-CERTIFICATION - CORPORATE OR ENTITIES****5.2 Non-Financial Institutions under CRS:**

If the Entity is a Non Financial Institution, please tick one of the below categories

| | | |
|------|---|--|
| I. | Active Non-Financial Entity – a corporation the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such a corporation | |
| II. | Active Non-Financial Entity – a Government Entity or Central Bank | |
| III. | Active Non-Financial Entity – an International Organisation | |
| IV. | Active Non-Financial Entity – other than (I)-(III) (for example a start-up NFE or a non-profit NFE) | |
| V. | Passive Non-Financial Entity (If this box is ticked, please complete a separate Individual Self-Certification Form for each of your Controlling Person(s)) | |

**Controlling Persons:

Note: Please note that each Controlling Person must complete a Separate Individual Self-Certification form.

If there are no natural person(s) who exercise control of the Entity then the Controlling Person will be the natural person(s) who hold the position of senior managing official of the Entity.

For further information on Identification requirements under CRS for Controlling Persons, see the Commentary to Section VIII of the CRS Standard. <http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

6. DECLARATION AND UNDERTAKINGS

I/We declare (as an authorised signatory of the Entity) that the information provided in this form is, to the best of my/our knowledge and belief, accurate and complete.

I/We acknowledge that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I/We undertake to advise the recipient promptly and provide an updated Self-Certification where any change in circumstance occurs which causes any of the information contained in this form to be incorrect.

Authorised signature

Print name

Date of signing

| | | | | | |
|---|---|---|---|---|---|
| D | D | M | M | Y | Y |
|---|---|---|---|---|---|

Capacity in which declaration is made

J DECLARATIONS AND CONFIRMATIONS CONTINUED

SIGNATURES

I/We confirm that I am/we are not benefit plan investors
 I/We hereby agree to be bound by the Prospectus

Applicant signature(s):

| | | | | | | | | | | |
|------------|--|--|---------------|--|---|---|---|---|---|---|
| Print name | | | | | | | | | | |
| Sign | | | Date of birth | | D | D | M | M | Y | Y |
| Print name | | | | | | | | | | |
| Sign | | | Date of birth | | D | D | M | M | Y | Y |

Other authorised signature(s)
 (If more than two authorised signatories, please attach complete list of authorised signatures to the Application)

| | | | | | | | | | | |
|--|--|--|---------------|--|---|---|---|---|---|---|
| Capacity of authorised signatory (if applicable) | | | | | | | | | | |
| Print name | | | | | | | | | | |
| Sign | | | Date of birth | | D | D | M | M | Y | Y |
| Print name | | | | | | | | | | |
| Sign | | | Date of birth | | D | D | M | M | Y | Y |

We would like to be able to provide you with fund literature such as the Key Investor Information Documents and prospectuses through our website. If you are happy for us to do so please include your e-mail address below so that we can forward you details of the relevant link. This will not stop you from requesting hard copies if you wish to do so.

| | | | | | | | | | | |
|----------------|--|--|--|--|--|--|--|--|--|--|
| E-mail address | | | | | | | | | | |
|----------------|--|--|--|--|--|--|--|--|--|--|

Other authorised signature(s)
 (If more than two authorised signatories, please attach complete list of authorised signatures to the Application)

| | | | | | | | | | | |
|--|--|--|---------------|--|---|---|---|---|---|---|
| Capacity of authorised signatory (if applicable) | | | | | | | | | | |
| Print name | | | | | | | | | | |
| Sign | | | Date of birth | | D | D | M | M | Y | Y |
| Print name | | | | | | | | | | |
| Sign | | | Date of birth | | D | D | M | M | Y | Y |

We would like to be able to provide you with fund literature such as the Key Investor Information Documents and prospectuses through our website. If you are happy for us to do so please include your e-mail address below so that we can forward you details of the relevant link. This will not stop you from requesting hard copies if you wish to do so.

| | | | | | | | | | | |
|----------------|--|--|--|--|--|--|--|--|--|--|
| E-mail address | | | | | | | | | | |
|----------------|--|--|--|--|--|--|--|--|--|--|

K ANTI-MONEY LAUNDERING DECLARATION**A. TO BE COMPLETED BY PERSON ACTING AS INTERMEDIARY**

Where the applicant is an intermediary applying for Shares on behalf of persons (which may include natural and/or legal persons) who will be the registered shareholder and beneficially entitled to the shares in respect of which this Application is being made the following declaration is required.¹

I/We* (name and address of agent/intermediary)

| | |
|---------|-------------|
| | |
| | City |
| Country | Postal code |

confirm that we are regulated in by

I/We* are obliged

to have appropriate anti-money laundering and counter terrorist financing procedures in place. These requirements are equivalent to the standards imposed under the Third Anti-Money Laundering Directive.

We are making this application as **trustee, agent, representative or nominee** on behalf of a client (the "Underlying Investor"). We represent that we have all requisite power and authority from the Underlying Investor to execute and perform the obligations under this application form. We also agree to be liable to the Company and its service providers and their directors, share holders, partners, officers and agents for any and all costs, fees and expenses (including legal fees and disbursements) in connection with any damages resulting from our misrepresentation or misstatement contained herein, or the assertion of our lack of proper authorisation from the Underlying Investor to execute this application form or perform the obligations hereof. I/We* confirm that (a) I/We* have carried out thorough due diligence to establish the identity of all Underlying Investors on whose behalf we purchase shares in the applicable sub-fund including where relevant the beneficial owner(s) of such Underlying Investor; (b) I/We* have screened such Underlying Investors to determine whether or not they are a senior foreign political figure, any member of a senior foreign political figure's immediate family or any close associate of a senior foreign political figure; (c) I/We* confirm that the Underlying Investor is not a U.S. Person; (d) I/We* hold the evidence of such identities and status and will maintain all such evidence for at least five years from the date of my/our complete redemption from the sub-fund; (e) I/We* acknowledge that those parties to whom we are introducing the Underlying Investor are relying upon the customer due diligence checks performed by us so as to meet with their own anti-money laundering and counter terrorist financing obligations; (f) So far as permitted by applicable laws, I/We* will make available upon reasonable request copies of such customer due diligence information obtained by us relating to such Underlying Investors to the Company and its service providers; and (g) should our relationship with the subscriber end we confirm that we will provide you with all identification and verification documentation.

The person signing below confirms that he/she is duly authorised to sign this declaration on behalf of the above mentioned intermediary/agent.

| | |
|---|------|
| Capacity of authorised signatory (Director/Manager etc) | |
| Print name | |
| Sign | Date |

¹ This confirmation can only be completed by intermediaries regulated for anti-money-laundering purposes by the appropriate regulator in one of the following countries: Member State of the European Union, Australia, Brazil, Canada, Channel Islands, Hong Kong, Iceland, India, Isle of Man, Japan, Liechtenstein, Mexico, Norway, Singapore, South Africa, South Korea, Switzerland, the Dutch overseas territories of Netherlands Aruba, Curacao, Sint Maarten, Bonaire, Sint Eustatius and Saba, the French overseas territories of Mayotte, New Caledonia, French Polynesia, Saint Pierre and Miquelon and Wallis and Futuna or the USA (please note that this list is subject to change).

* (Delete as appropriate)

K ANTI-MONEY LAUNDERING DECLARATION**B. TO BE COMPLETED BY AN INTERMEDIARY ACTING IN A NOMINEE CAPACITY**

Where the applicant is an intermediary applying for Shares in a nominee capacity on behalf of persons (which may include natural and/or legal persons) who will be beneficially entitled to the Shares in respect of which this Application is being made, the following declaration is required.¹

I/We (name and address of agent/intermediary are making this application as nominee on behalf of a client (the "Underlying Investor") where our name appears on the share register or the name of our wholly owned unregulated nominee company appears on the share register*

| | |
|---------|-------------|
| | |
| | City |
| Country | Postal code |

confirm that we are regulated in by

I/We* are obliged

to have appropriate anti-money laundering and counter terrorist financing procedures in place. These requirements are equivalent to the standards imposed under the Third Anti-Money Laundering Directive.

is a wholly owned subsidiary of [Full Legal Name of Intermediary] and has implemented our AML Policy and Procedures.*

We are making this application as nominee on behalf of a client (the "Underlying Investor"). We represent that we have all the requisite power and authority from the Underlying Investor to execute and perform the obligations under this application form. We also agree to be liable to the Company and its service providers and their directors, shareholders, partners, officers and agents for any and all costs, fees and expenses (including legal fees and disbursements) in connection with any damages resulting from our misrepresentation or misstatement contained herein, or the assertion of our lack of proper authorization from the Underlying Investor to execute this application form or perform the obligations hereof.

I/We* confirm that (a) I/We* have carried out thorough due diligence to establish the identity of all Underlying Investors on whose behalf we purchase shares in the applicable sub-fund including where relevant the beneficial owner(s) of such Underlying Investor; (b) I/ We* have screened such Underlying Investors to determine whether or not they are a senior foreign political figure, any member of a senior foreign political figure's immediate family or any close associate of a senior foreign political figure; (c) I/We* confirm that the Underlying Investor is not a U.S. Person; (d) I/We* hold the evidence of such identities and status and will maintain all such evidence for at least five years from the date of my/our complete redemption from the sub-fund.

IMPORTANT NOTES

1. Non-resident declarations are subject to inspection by the Irish Revenue Commissioners and it is a criminal offence to make a false declaration.
2. To be valid, this declaration must be signed by the applicant. Where there is more than one applicant, each person must sign. If the applicant is a company, it must be signed by the company secretary or another authorised officer.
3. If this declaration is signed under power of attorney, a copy of the power of attorney must be furnished in support of the signature.
4. Applicants who are exempt Irish residents should contact the Administrator for the alternative declaration available for exempt Irish residents.
5. An "intermediary" means a person who: carries on a business which consists of, or includes, the receipt of payments from an investment undertaking resident in Ireland on behalf of other persons;
or
holds units in an investment undertaking on behalf of other persons.
6. If you are a new shareholder (new intermediary), please refer to your local Old Mutual Global Investors Series plc sales representative for further details.

RETURN ADDRESS

Please return the original completed application form to:

Old Mutual Global Investors Series plc, Investor Services Team, c/o Citibank Europe plc, 1 North Wall Quay, Dublin 1, Ireland.

Tel: +353 1 622 4499

Fax: +353 1 622 4498

ONLINE

Download fund data
and read investment updates at
www.omglobalinvestors.com

TELEPHONE

Contact your regional sales manager or
speak to our dedicated client services
team on **+44 (0)20 7332 7524**

OLD MUTUAL GLOBAL INVESTORS (UK) LTD

2 Lambeth Hill, London, EC4P 4WR, UK

Building better solutions.



OLD MUTUAL
GLOBAL INVESTORS